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J1035 U.S. PTO
02/05/01**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 11-SW-4913

First Inventor David Leslie and Robert Laufenberg

Title NETWORKED BASED PARALLELING SWITCH EQUIPMENT CONFIGURATION PROCESS

Express Mail Label No. EL319733045US

APPLICATION ELEMENTS		ADDRESS TO:	
See MPEP chapter 600 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i>	Assistant Commissioner for Patents Box Patent Application Washington, DC 20231		
2. <input type="checkbox"/> Application claims small entity status. See 37 CFR 1.27			
3. <input checked="" type="checkbox"/> Specification [Total Pages 18] <i>(Preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 			
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 8]	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
5. Oath or Declaration [Total Pages]	8. Nucleotide and/or Amino Acid Sequence Submission <i>(If applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies 		
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))		
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney			
11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>			
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations			
13. <input type="checkbox"/> Preliminary Amendment			
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>			
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(If foreign priority is claimed)</i>			
16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.			
17. <input checked="" type="checkbox"/> Express Mail Certificate; Declaration and Power of Attorney <i>(submitted for Inventor Identification only)</i>			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information:

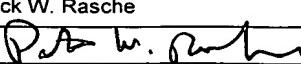
Examiner: _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Custom No. or Attach bar code label here)			<input type="checkbox"/> Correspondence address below	
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Signature			Date	February 5, 2001

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PATENT
11-SW-4913

979 U.S. PRO
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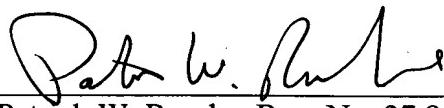
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I certify that the attached utility patent application of **David Leslie and Robert Laufenberg** (Attorney Docket No. 11-SW-4913) for **NETWORKED BASED PARALLELING SWITCHGEAR EQUIPMENT CONFIGURATION PROCESS** including:

- Nine (9) pages of specification; eight (8) pages of claims; one (1) page of abstract
- Eight (8) sheets of drawings
- Patent Application Transmittal (1 page)
- Fee Transmittal (1 page, in duplicate)
- Declaration and Power of Attorney (2 pgs., for identification of inventors only)
- Certificate of Mailing Via Express Mail (1 page)
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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231.

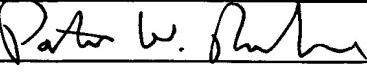


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314/621-5070

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FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete If Known	
		Application Number	
		Filing Date	
		First Named Inventor	David Leslie and Robert Laufenberg
		Group Art Unit	
		Examiner Name	
TOTAL AMOUNT OF PAYMENT	(\$ 1356)	Attorney Docket Number	11-SW-4913

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number 01-2384 Deposit Account Name <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.16 and 1.17				3. ADDITIONAL FEES Large Entity Small Entity Fee Description Fee Paid Fee Code (\$ Fee Code (\$) 105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge-late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2520 147 2520 For filing a request for ex parte reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1840* 113 1840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second Month 117 890 217 445 Extension for reply within third month 118 1390 218 695 Extension for reply within fourth month 128 1890 228 945 Extension for reply within fifth month 119 310 219 155 Notice of Appeal 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1510 138 1510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive – unavoidable 141 1240 241 620 Petition to revive – unintentional 142 1240 242 620 Utility issue fee (or reissue) 143 440 243 220 Design issue fee 144 600 244 300 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 Filing a submission after a final rejection (37-CFR 1.129(a)) 149 710 249 355 For each additional invention to be examined (37 CFR 1.129(b)) 179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) _____			
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other							
FEES CALCULATION							
1. BASIC FILING FEE							
Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid		
101	710	201	355	Utility Filing Fee	710		
106	320	206	160	Design Filing Fee			
107	490	207	245	Plant Filing Fee			
108	710	208	355	Reissue filing Fee			
114	150	214	75	Provisional Filing Fee			
SUBTOTAL (1)					710		
2. EXTRA CLAIM FEES							
Total Claims	47	-20**	27	x 18	= 486		
Independent Claims	5	-3*	2	x 80	= 160		
Multiple Dependant				x 	= 		
Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description			
103	18	203	9	Claims in excess of 20			
102	80	202	40	Independent claims in excess of 3			
104	270	204	135	Multiple dependent claim, if not paid			
109	80	209	40	**Reissue independent claims over original patent			
110	18	210	9	**Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)					646		
**or number previously paid, if greater; For Reissues, see above							
						*Reduced by Basic Filing Fee Paid SUBTOTAL (3) 	

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Patrick W. Rasche	Registration No. (Attorney/Agent)	37,916	Telephone	314-621-5070
Signature				Date	February 5, 2001

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